RESEARCH ETHICS BOARD ANNUAL REPORT 2022

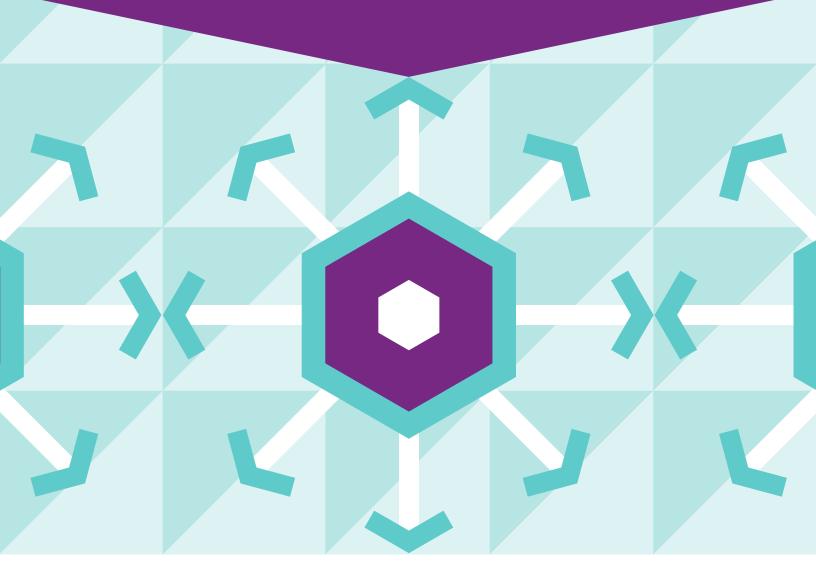




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WHO WE ARE

WHAT WE DO What is the mandate of the REB?

The CHEO REB is an oversight body that determines the ethical acceptability of the design and conduct of human research conducted under the auspices of CHEO to fundamentally protect the rights and dignity of research participants and to pragmatically protect the institution from liability. To that end, the REB reviews and approves studies to ensure they meet and comply with the highest scientific and ethical standards, including proposing modifications, monitoring safety, determining remediation for protocol deviations, serious adverse events, or non-compliance, and/or rejecting or terminating any proposed or ongoing unethical or non-compliant research. The REB functions independently of the Hospital and Research Institute with respect to all deliberations and decisions.

Why it matters

A fundamental premise of standards governing the ethical conduct of research is that research can benefit human society. Researchers have the primary responsibility to ensure that research involving humans meets high scientific and ethical standards that respect and protect the dignity and welfare of participants. Research, by definition, is a process of discovery. It necessarily entails or increases risk to participants and others. Risks can be trivial or profound, physical or psychological, individual or communal. There are many tragic examples where research participants and communities have been harmed by research. To minimize the risks of such harms, ethical principles and guidelines play an important role in advancing the pursuit of knowledge in a responsible and respectful manner.

Having robust processes and appropriate safeguards to ensure compliance with the necessary ethical and regulatory requirements for human research is a shared institutional responsibility.

What is the scope of activities of the CHEO REB?



ADVISORY



RISK MANAGEMENT AND MITIGATION



CAPACITY BUILDING AND EDUCATION



CONFLICT RESOLUTION



COLLABORATION WITH RESEARCH ETHICS COMMUNITY

WHAT WE DO

A SNAPSHOT OF THE REVIEW PROCESS

Full Board Reviews

Full Board reviews involve greater than minimal risk to the participant. This includes studies involving regulated drugs or clinical research with vulnerable populations.

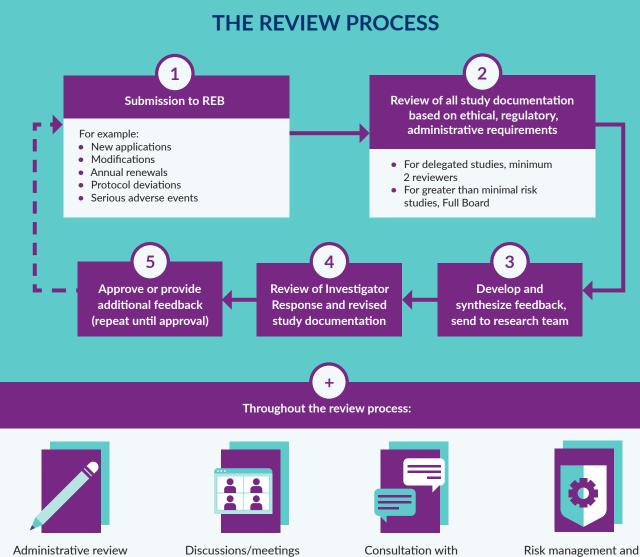
2 TYPES OF REVIEW



Delegated Reviews

Delegated reviews involve minimal risk to the participant. This includes prospective and retrospective studies with children, families and/or staff.

Submissions, especially delegated submissions, significantly vary in complexity and quality. They may be investigator- or learner-led, unfunded, and not peer-reviewed for their scientific viability.



of all submissions for completeness and accuracy of study documentation

with PIs and

research teams

Quality Assurance, Privacy, other REBs, research ethics colleagues

Risk management and mitigation to preserve the scientific and ethical integrity of studies and the research enterprise at CHEO



WHAT WE'VE DONE 2022 HIGHLIGHTS

OVERSIGHT AND COMPLIANCE

1041	207	1497	693	100+
Oversight of 1041 active studies	New studies	Post approval activities	Annual renewals	Over 100+ meetings with PIs and research teams
105	10	9/7	00	0500
195	12	367	33	3500+

GOVERNANCE

- Created new position (Research Ethics Officer), and recruited a new Manager, and 3 new REB members
- Supported upscaling of REB membership proficiency in key topics in research ethics by providing multiple education and training opportunities
- Continued to streamline and enhance review and approval processes through existing and new harmonization agreements (e.g., Ottawa Health Sciences Network, Royal Ottawa Hospital)
- Participating in the development of oversight mechanisms for all quality improvement (QI) activities
- Participating in the national pilot pediatric ethics review program (CHEER); Board of Record for multiple CHEER studies involving sites across Canada

OPERATIONS

- Continued to streamline and optimize operations of the Research Ethics Office to ensure the successful and efficient administration of the human research protections program at CHEO
- Established new and updated existing standardized templates and guidance to support high quality research development
- Restructured the initial submission process to reduce administrative burden through streamlining application forms and reducing mandatory documentation relating to validated tools
- Supported the ongoing enhancement of the CHEO RI institutional approval process (START SMART)

1 Clinical Trials Ontario's (CTO) streamlined system enables a single CTO-qualified REB to provide ethics review and ongoing oversight for multiple research sites participating in the same study. The CHEO REB became a qualified Board of Record in 2017.

FIGURE 1: Review and Approval Timeline Greater than Minimal Risk 2022

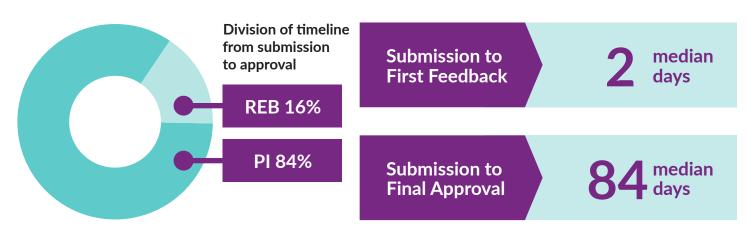


FIGURE 2: Review and Approval Timeline Prospective Minimal Risk 2022

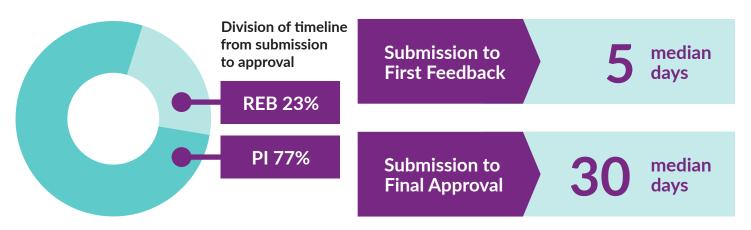
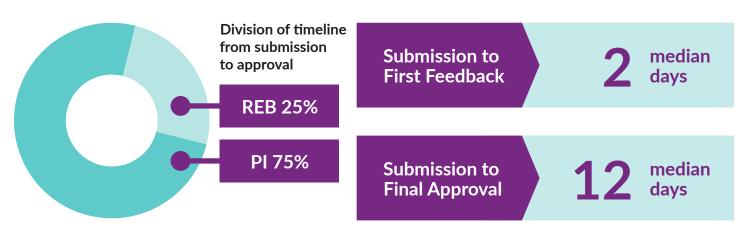


FIGURE 3: Review and Approval Timeline Retrospective Minimal Risk 2022



WHAT WE'VE DONE

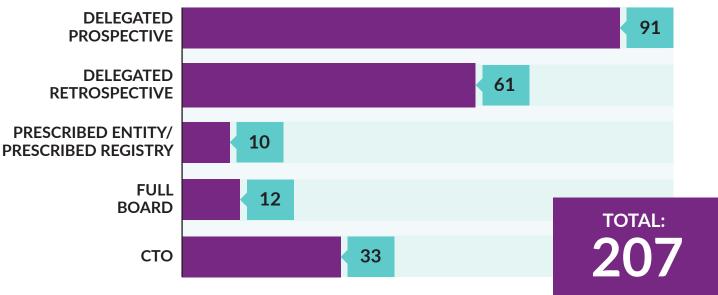
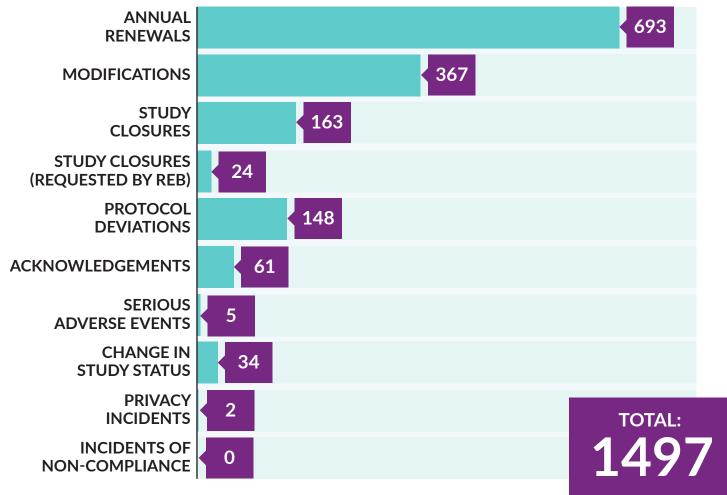


FIGURE 4: New study submissions (Initial Applications)



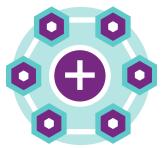


CHEO

WHERE WE'RE GOING 2023 STRATEGIC GOALS



Implement structural and operational changes to augment governance best practices, oversight, and compliance to bolster a well-functioning and compliant human research protections program at CHEO





Increase support and resources for the REB to address resource and efficiency gaps to align REB capacity with the growing research enterprise at CHEO

Improve REB and institutional accountability and effectiveness to be congruent with CHEO's Safety First philosophy



Partner with external stakeholders to drive provincial and national projects forward, and position CHEO REB as a frontrunner in the Canadian research ethics community

2023 GOVERNANCE AND OPERATIONAL OBJECTIVES

1	Support the development of resources and processes to facilitate the conduct of Indigenous health research in a culturally appropriate manner and respect Indigenous data sovereignty
2	Develop a robust research ethics education and training program to support research teams to apply requirements in collaboration with the CHEO RI
3	Update institutional policies on the ethical conduct of research, Terms of Reference, and Standard Operating Procedures in collaboration with the CHEO RI
4	Bolster professional development opportunities for REB members to stay current with evolving research ethics standards and culture

WHO WE ARE

WHO WE ARE RESEARCH ETHICS BOARD



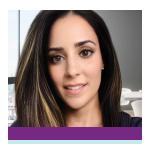
Cécile Bensimon MA, PhD

Cécile is Chair of the CHEO REB and Director of Ethics and Professional Affairs at the Canadian Medical Association. She has acted as an ethics advisor on pandemic ethics, most recently on the Ontario COVID-19 Bioethics Table. She is a member of the CIHR Committee on Ethics and RCPSC Ethics Committee, and editor of the Canadian Journal of Bioethics.



Sandra Djuric RN, MScN

Sandy completed her nursing studies at the University of Ottawa where she graduated with a Master of Science in Nursing. In her career at CHEO she worked in Pediatric Medicine, Oncology and Discharge Planning. She is a longstanding Faculty member at the University of Ottawa where she teaches courses in Community Health and Professionalism and Ethics



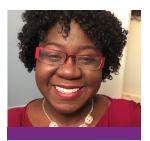
Sabrina Heyde

Sabrina holds a law degree from Queen's University and works as a Senior Investigator for the Office of the Privacy Commissioner of Canada. She has served as a community/legal member of the CHEO REB since 2017, and as a legal consultant to the Canadian Pediatric Surveillance Program since 2020.



Sophia Hrycko PhD, MD, FRCP(C)

Sophia is a child and adolescent psychiatrist at CHEO and Assistant Professor at the University of Ottawa since 1998. She works on the Consultation-Liaison service.



Marie-Ange Janvier PhD

Marie-Ange is a certified clinical engineer (CCE) at CHEO. She is a parttime professor at the University of Ottawa in the biomedical engineering program. She is an Adjunct Research Professor at **Carleton University** in the Department of Systems and Computer Engineering and an Adjunct Professor at the University of Ottawa in the Department of Mechanical Engineering.



Robert Klaassen MD, FRCP(C)

Robert is a Pediatric Hematologist/ Oncologist at CHEO, Professor at the Department of Pediatrics, University of Ottawa and Clinical Investigator at the CHEO Research Institute. His clinical focus is on the care of patients with non-malignant hematologic disorders.



Elaine Leung MD, FRCP(C)

Elaine is a dually trained Pediatric Hematologist/ Oncologist and Hematopathologist. She joined CHEO's Department of Laboratory Medicine in 2006, and has served as the Head of the Division of Hematology and Transfusion Medicine since 2014.



Claudia Malic MD, MRCS(Eng), FRCS (Plast), MD(res), FRCSC

Claudia has been caring for plastic surgery and burn patients at CHEO since 2014. She served as the Director of Research for Plastic Surgery (2016-2021) and was appointed in 2021 as Vice-Chair of Quality and Patient Safety for the Department of Surgery, University of Ottawa, where she is also an Associate Professor.



Siddika Mithani PhD

Siddika holds a BSc in Pharmacy and a PhD in psychopharmacology. She is the past President of the Public Health Agency of Canada as well as the Canadian Food Inspection Agency. She has extensive background and experience in the regulatory and scientific review, evaluation, and approval of clinical trials in Canada.



Scott Murray Hon BA

Scott is the President, DataAngel Policy Research Inc., a Canadian policy research company serving a broad range of clients. Prior, he was Director, Education Outcomes at the Unesco Institute for Statistics (UIS). Scott holds an Honors BA from the University of Western Ontario.



WHO WE ARE



Alex Petiquan

Alex is Anishinaabe from Wabauskang First Nation and graduated from the Northern Ontario School of Medicine. His work spans Indigenous public health, policy, governance, epidemiology, and data sharing agreements, both as a Senior Analyst in the Federal sector and as a member of the Health Expert Advisory Panel for Grand Council Treaty #3.



Lori Pope

Lori Pope is a community member of the REB. She is the mother of two children, both of whom were treated for lifethreatening medical conditions at CHEO. She is also a lawyer who has worked in private practice, legal aid clinics, and for a federal regulatory tribunal. She has a particular interest in human rights, privacy, and community legal education.



Ellen Song

Ellen is a former CHEO patient and former member of the CHEO-RI's Patient and Family Advisory Committee. She is also is a medical student at the University of Ottawa Faculty of Medicine.



Régis Vaillancourt OMM, CD, PharmD, FCSHP

Régis was the Director of Pharmacy at CHEO for 16 years. Throughout his career, Régis has worked with different pharmacy organizations. He has published more than 100 peer-reviewed articles on pharmacy practice with a focus on medication safety and health literacy.

RESEARCH ETHICS OFFICE



Valerie Bourada MSc

Valerie is the outgoing Manager of the CHEO REB. She holds a Master's degree in Science from the University of Ottawa. Her career has been linked to health care research, starting as a clinical research coordinator in Oncology.



Sarah Tagliapietra HBSc, BEd

Sarah is the incoming Manager of the CHEO REB. She has worked with multiple academic and community based REBs in Ontario for over 10 years, and also has experience in global clinical trial management.



Natalie Anderson

Natalie is the Research Ethics Officer of the CHEO REB and brings a wealth of experience as a longstanding member of the Research Ethics Office.



Yulia Rosenstein Levin PhD

Yulia is the Research Ethics Coordinator of the CHEO REB. She has expertise in academic administration and a background in conducting human participant research in the areas of Cognitive Psychology and Behavioral Sciences.

